# Density Functional Investigation of the Antiferromagnetic Ordering, Spin Orientation, and Ferroelectric Polarization of Rare-Earth Iron Borate TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>

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First-principles density functional calculations were carried out to examine the electronic and magnetic properties of rare-earth iron borate  $TbFe_3(BO_3)_4$ . The spin exchange interactions between the  $Fe^{3+}$  ( $d^5$ , S=5/2) ions and the preferred orientation of the  $Tb^{3+}$  ( $f^8$ ) spins were evaluated, and the ferroelectric polarization of  $TbFe_3(BO_3)_4$  was calculated. In agreement with experiment, our calculations predict that the spin exchange between the  $Fe^{3+}$  spins is ferromagnetic within each //ab sheet of  $Fe^{3+}$  ions but antiferromagnetic between adjacent //ab sheets of  $Fe^{3+}$  ions, whereas the spin exchange between the  $Fe^{3+}$  and  $Tb^{3+}$  ions within each //ab sheet of  $Fe^{3+}$  and  $Tb^{3+}$  ions is antiferromagnetic. The  $Tb^{3+}$  ( $f^8$ ) ions is found to possess an electron configuration responsible for uniaxial magnetism, hence orienting the  $Tb^{3+}$  spins along the c-direction and leading to the highly anisotropic magnetic susceptibility. The ferroelectric polarization of  $TbFe_3(BO_3)_4$  is largely due to the absence of inversion symmetry of the crystal structure and is weakly affected by its magnetic structure.

#### 1. Introduction

Rare-earth iron borates  $AFe_3(BO_3)_4$  (A = rare earth) crystallize in the noncentrosymmetric space group R32<sup>1,2</sup> and are made of FeO<sub>6</sub> octahedra containing Fe<sup>5+</sup> (S = 5/2, L =0) ions, AO<sub>6</sub> trigonal prisms containing rare-earth A<sup>3+</sup> ions, and BO<sub>3</sub> triangles. The FeO<sub>6</sub> octahedra share their cis edges to form FeO<sub>4</sub> spiral chains running along the c-direction (Figure 1), which are packed by sharing their octahedral corners with AO<sub>6</sub> trigonal prisms and BO<sub>3</sub> triangles (Figure 2) to form the three-dimensional (3D) lattice of AFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>. As a result, AFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> consists of trigonal sheets containing the Fe<sup>3+</sup> and A<sup>3+</sup> ions in the 3:1 ratio, parallel to the abplane (hereafter, the //ab sheets) (Figure 3). Because of the presence of both 3d and 4f magnetic ions, these rare-earth iron borates give rise to interesting and complex magnetic properties.3-6 In particular, TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> undergoes a 3D magnetic ordering at  $T_N = 40 \text{ K}$  in which the Fe<sup>3+</sup> spins have a ferromagnetic (FM) coupling within each //ab sheet (Figure 3), but antiferromagnetic (AFM) coupling between adjacent //ab sheets.3b Furthermore, the Tb3+ (f8) spins are antiferromagnetically coupled to the Fe<sup>3+</sup> spins within each //ab sheet (Figure 3), with their spins oriented along the c-direction. 3b The magnetic ordering of the Tb3+ sublattice and that of the Fe<sup>3+</sup> sublattice set in at the same temperature (i.e., at 40 K). Why TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> adopts such a 3D magnetic ordering has not been explained. Furthermore, the magnetic susceptibility of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> is highly anisotropic; the susceptibility perpendicular to the c-axis  $(\chi_{\perp})$  is much weaker than that parallel to the *c*-axis  $(\chi_{//})$  and is nearly independent of temperature.<sup>3b</sup> This anisotropic magnetism has been ascribed to the Ising-like behavior of the Tb<sup>3+</sup> ions in the trigonal crystal field.<sup>3</sup> The Ising character, i.e., the uniaxial magnetism, of a magnetic ion arises typically if the ion has a more-than-half-filled shell and if the ion has an electron configuration with three electrons in a doubly degenerate level from the viewpoint of crystal field.<sup>7</sup> Thus, one might speculate that the Tb<sup>3+</sup> ions of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> possess such an electron configuration. Rare-earth iron borates AFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> have a crystal structure with no inversion symmetry. As a result, GdFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> and NdFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> were found to be multiferroic. 6 In a magnetically driven multiferroic system, the inversion symmetry is removed when it undergoes a magnetic ordering (e.g., spiral spin of cycloid type), thereby inducing ferroelectric (FE) polarization.<sup>8,9</sup> Because AFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> has no inversion symmetry in the crystal

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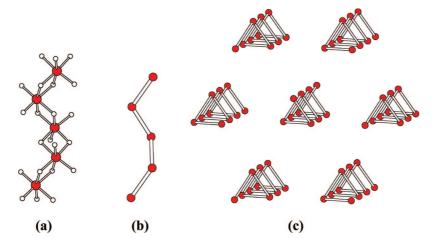


Figure 1. (a) Perspective view of an isolated FeO<sub>4</sub> chain made up of edge-sharing FeO<sub>6</sub> octahedra. (b) Perspective view of an isolated FeO<sub>4</sub> chain showing only the Fe atoms. (c) Packing of the FeO<sub>4</sub> spiral chains in TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>.

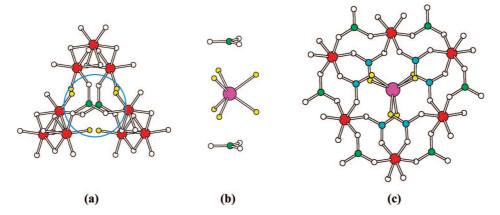


Figure 2. (a) Trigonal prism site for Tb<sup>3+</sup> generated by three adjacent FeO<sub>4</sub> spiral chains connected by B(1)O<sub>3</sub> triangles. (b) Arrangement of a TbO<sub>6</sub> trigonal prism and two adjacent B(1)O<sub>3</sub> triangles. (c) Corner-sharing of a TbO<sub>6</sub> trigonal prism, B(1)O<sub>3</sub> triangles, B(2)O<sub>3</sub> triangles and FeO<sub>6</sub> octahedra. The Tb, B(1), B(2), and Fe atoms are represented by purple, green, cyan, and red circles, respectively.

structure, it would have FE polarization regardless of its magnetic ordering. It is interesting to verify this implication.

To probe the aforementioned issues concerning TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>, first-principles electronic structure calculations are necessary. In the present work, we investigate these problems on the basis of first-principles density functional theory (DFT) calculations.

## 2. Crystal Structure and Spin Exchange Paths

A single FeO<sub>4</sub> spiral chain of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> running along the c-direction is depicted in Figure 1a. When the structure of each FeO<sub>4</sub> spiral chain is simplified by showing only the Fe atoms (Figure 1b), the arrangement of the FeO<sub>4</sub> spiral chains in TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> can be depicted as in Figure 1c. There are two crystallographically different B atoms, i.e., B(1) and B(2). Every three adjacent FeO<sub>4</sub> spiral chains are held together by B(1)O<sub>3</sub> triangles, creating trigonal prism sites

for the Tb<sup>3+</sup> ions (Figure 2a). The arrangement of a TbO<sub>6</sub> trigonal prism and two adjacent B(1)O<sub>3</sub> triangles is presented in Figure 2b. The FeO<sub>4</sub> spiral chains and the TbO<sub>6</sub> trigonal prisms are also connected by B(2)O<sub>3</sub> triangles such that each B(2)O<sub>3</sub> triangle shares corners with one TbO<sub>6</sub> trigonal prism and two FeO<sub>4</sub> spiral chains (Figure 2c). Thus, the spin exchange paths to consider between the Fe3+ ions of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> include the intrachain superexchange (SE)  $J_2$ as well as the interchain supersuperexchange (SSE)  $J_1$ ,  $J_3$ , and  $J_4$  (Figure 4a). The spin dimer units for  $J_1-J_4$  are depicted in Figure 5, and the geometrical parameters associated with them are summarized in Table 1. Of the three SSE paths,  $J_4$  has the most symmetrically obtuse  $\angle Fe-O\cdots O$ angles.

# 3. Computational Details

To evaluate the spin exchange interactions of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>, we carry out first principles DFT electronic structure calculations by employing the frozen-core projector augmented wave method encoded in the Vienna ab initio simulation package (VASP).<sup>10</sup> Our VASP calculations employed the generalized-gradient approximation (GGA)<sup>11</sup> for the exchange-correlation functional, the planewave cutoff energy of 400 eV, and 27 k-points for the irreducible Brillouin zone, with the f-electrons of the Tb<sup>3+</sup> ions treated as core electrons. To describe the possible effect of the strong electron correlation in the Fe 3d states, the GGA plus on-site repulsion U

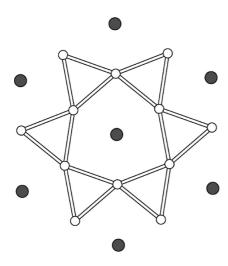
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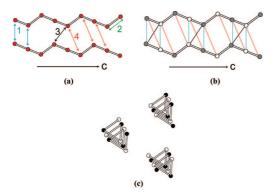
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**Figure 3.** Spin arrangement in a sheet of  $Fe^{3+}$  and  $Tb^{3+}$  ions parallel to the ab-plane in  $TbFe_3(BO_3)_4$ . The large and small circles represent the  $Tb^{3+}$  and  $Fe^{3+}$  ions, respectively. The up-spin and down-spin sites are represented by empty and filled circles, respectively.



**Figure 4.** (a) Intrachain and interchain spin exchange paths between the  $Fe^{3+}$  ions in  $TbFe_3(BO_3)_4$ . The numbers 1, 2, 3, and 4 represent  $J_1$ ,  $J_2$ ,  $J_3$ , and  $J_4$ , respectively. (b) Spin exchange interactions between two adjacent  $FeO_4$  spiral chains in the observed magnetic structure, where the filled and empty circles represent up-spin and down-spin  $Fe^{3+}$  sites, respectively:  $J_1$  = cyan line,  $J_2$  = white cylinder,  $J_3$  = black line, and  $J_4$  = red line. (c) Spin arrangement in three adjacent  $FeO_4$  spiral chains in the ordered magnetic state of  $TbFe_3(BO_3)_4$ , where the up-spin and down-spin sites are represented by empty and filled circles, respectively.

(GGA+U) method<sup>12</sup> was employed with effective U = 5 eV on the Fe atom. The threshold for the self-consistent-field convergence of the total electronic energy was  $1 \times 10^{-6}$  eV.

We also carried out DFT calculations by using the WIEN2K package  $^{13}$  so as to treat the f-electrons of the Tb  $^{3+}$  ions explicitly and hence evaluate the interactions between the Fe  $^{3+}$  and Tb  $^{3+}$  ions. Our WIEN2k calculations employed the full-potential linearized augmented plane wave method  $^{14}$  with the GGA for the exchange-correlation functional, 32 k-points for the irreducible Brillouin zone, the threshold of  $1\times 10^{-6}$  Ry for the energy convergence, the cutoff energy parameters of  $RK_{max}=5.5$  and  $G_{max}=12$ , and the energy

threshold of -8.0 Ry for the separation of the core and valence states. To determine the spin orientation of the Tb<sup>3+</sup> ions, we also performed GGA calculations by taking the effect of spin-orbit coupling (SOC) into consideration.

For various ordered magnetic states (see below) of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>, our spin-polarized GGA calculations with both VASP and WIEN2k show that they have a band gap at the Fermi level, in agreement with the fact that TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> is a magnetic insulator. As a representative example, the plots of the density of states (DOS) obtained for the FM state of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> from WIEN2k/GGA calculations are presented in Figure 6, which shows that only the up-spin d-block bands of Fe are occupied, as expected for the high-spin Fe<sup>3+</sup> ions in TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>. Therefore, in our evaluations of the spin exchange parameters for TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>, GGA calculations are sufficient. Nevertheless, we carried out GGA+U calculations with U = 5 eV by using the VASP to see how GGA+U calculations affect the results of GGA calculations. In GGA+U calculations for magnetic oxides containing Fe, this range of U has been used.<sup>15</sup>

The FE polarization of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> was calculated by using the Berry phase method<sup>16</sup> encoded in the VASP.

# 4. Spin Exchange Interactions between the Fe<sup>3+</sup> Ions

To evaluate the four spin exchange parameters  $J_1-J_4$ , we perform mapping analysis<sup>17</sup> based on DFT calculations. For this purpose, the relative energies of the five ordered spin states shown in Figure 7 were first determined by GGA+U calculations (Table 2). To extract the values of  $J_1-J_4$  from these electronic structure calculations, we express the total spin exchange interaction energies of the five ordered spin states in terms of the spin Hamiltonian defined in terms of  $J_1-J_4$ ,

$$\hat{H} = -J_{ij}\hat{S}_i\hat{S}_j \tag{1}$$

where  $\hat{S}_i$  and  $\hat{S}_j$  are the spin operators at the spin sites i and j, respectively, and  $J_{ij}$  (=  $J_1$ ,  $J_2$ ,  $J_3$ ,  $J_4$ ) is the spin exchange parameter between the sites i and j. By applying the energy expressions obtained for spin dimers with N unpaired spins per spin site (in the present case, N = 5), the total spin exchange energies of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> per unit cell (i.e., per three formula units) for the five spin states are written as

$$\begin{split} E_{\text{FM}} &= (-18J_1 - 9J_2 - 9J_3 - 27J_4)(N^2/4) \\ E_{\text{AF1}} &= (-18J_1 - 3J_2 - 3J_3 - 9J_4)(N^2/4) \\ E_{\text{AF2}} &= (-10J_1 - 5J_2 - 5J_3 - 15J_4)(N^2/4) \\ E_{\text{AF3}} &= (+6J_1 - 3J_2 - 9J_3 + 9J_4)(N^2/4) \\ E_{\text{AF4}} &= (+6J_1 - 3J_2 - J_3 + 3J_4)(N^2/4) \end{split}$$

Then, by mapping the energy differences between the five ordered states determined from the GGA+U calculations on to the corresponding energy differences determined from the spin Hamiltonian, we obtain the values of  $J_1-J_4$  summarized in Table 3.

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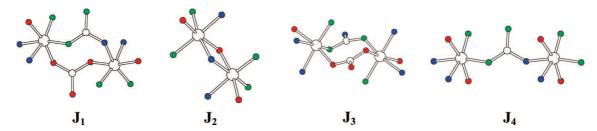


Figure 5. Spin dimers associated with the spin exchange interactions  $J_1 - J_4$ , where the red, blue, and green circles represent the O(1), O(2), and O(3) atoms, respectively.

Table 1. Geometrical Parameters Associated with the Spin Exchange Paths J<sub>1</sub>-J<sub>4</sub> of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>

	Fe···Fe (Å)	nature	distance (Å)/angle (deg)	
$J_1$	4.845	SSE	$O \cdots O = 2.346$	
			$\angle \text{Fe-O} \cdot \cdot \cdot \text{O} = 104.7, 146.7$	
			$O \cdots O = 2.412$	
			$\angle \text{Fe-O} \cdot \cdot \cdot \text{O} = 140.4, 106.8$	
$J_2$	3.185	SE	$\angle$ Fe-O-Fe = 102.9	
			$\angle$ FeO-Fe = 104.6	
$J_3$	4.375	SSE	$O \cdot \cdot \cdot O = 2.433$	
			$\angle \text{Fe-O} \cdot \cdot \cdot \text{O} = 110.7, 110.7$	
			$O \cdot \cdot \cdot O = 2.412$	
			$\angle \text{Fe-O} \cdot \cdot \cdot \text{O} = 106.8, 106.8$	
$J_4$	6.065	SSE	$O \cdots O = 2.346$	
			$\angle \text{Fe-O} \cdot \cdot \cdot \text{O} = 144.4, 146.7$	
			,	

Our VASP and WIEN2k calculations both show that all four spin exchange interactions are AFM (Table 3). The VASP calculations reveal that the effect of including the onsite repulsion U on Fe is mainly to decrease the magnitude of each spin exchange interaction, which is expected because the AFM spin exchange is approximately inversely proportional to the onsite repulsion U, namely,  $J \approx -(\Delta e)^2/U$ , where  $\Delta e$  is the energy split between the two magnetic orbitals describing the spin dimer associated with the spin exchange path J.<sup>17</sup> The strongest AFM interaction is the interchain spin exchange  $J_4$ . According to Figure 4b, the experimentally observed AFM arrangement of the Fe<sup>3+</sup> ions in TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> is favored by  $J_2$ ,  $J_3$ , and  $J_4$ , but disfavored by  $J_1$ . Note that  $J_2$ ,  $J_3$ , and  $J_4$  are all interactions between adjacent //ab sheets, whereas  $J_1$  is an interaction within //ab sheet.  $J_2$  is an intrachain interaction, whereas  $J_3$  and  $J_4$  are interchain interactions.  $J_1$  is much weaker

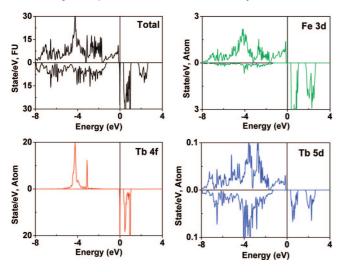


Figure 6. Electronic structure obtained for the ferromagnetic state of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> from WIEN2k/GGA calculations: The total DOS plot as well as the projected DOS plots for the Fe 3d, the Tb 4f, and the Tb 5d states.

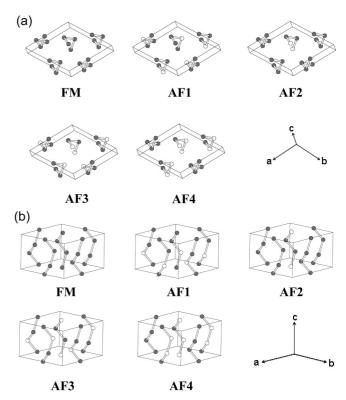


Figure 7. Two perspective views of the five ordered spin states (FM, AF1, AF2, AF3, and AF4) of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>, where the up-spin and down-spin Fe<sup>3+</sup> sites are represented by empty and filled circles, respectively.

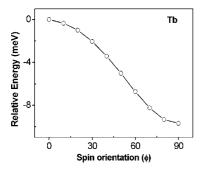
Table 2. Relative Energies  $\Delta E$  (in meV) of the Five Ordered Spin States of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> per Unit Cell (i.e., per three formula units) Obtained from GGA+U Calculations

	VA	WIEN2k	
state	U = 0  eV	U = 5  eV	U = 0  eV
FM	0	0	0
AF1	-630	-205	-640
AF2	-262	-78	-264
AF3	-735	-224	-741
AF4	-590	-168	-605

Table 3. Spin Exchange Parameters J/k<sub>B</sub> (in K) of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> **Determined from GGA+U Calculations** 

	VA	ASP	WIEN2k
	U = 0  eV	U = 5  eV	$U=0~\mathrm{eV}$
$J_1/k_{ m B}$	-12.0	-2.2	-11.7
$J_2/k_{ m B}$	-7.0	-1.3	-12.7
$J_3/k_{ m B}$	-7.7	-1.5	-7.7
$J_4/k_{ m B}$	-27.6	-9.6	-26.2

than  $J_4$  (e.g.,  $J_1/J_4 \approx 0.23$  with U = 5 eV), and more  $J_4$ interactions occur than do  $J_1$  interactions between adjacent FeO<sub>4</sub> spiral chains (by a factor of 1.5). Namely, the magnetic structure



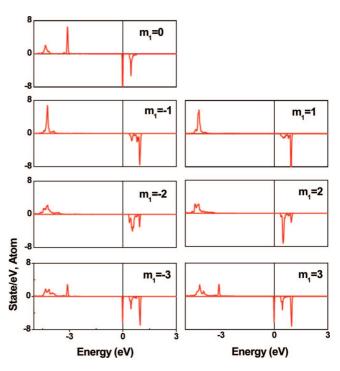
**Figure 8.** Relative energy of TbGa<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> per unit cell (i.e., three formula units) determined from GGA+SOC calculations as the orientation angle  $\phi$  of the Tb<sup>3+</sup> spin sweeps from 0° (//a) to 90° (//c) in the ac-plane.

of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> has practically no geometric spin frustration,<sup>19</sup> and the FM coupling between the Fe<sup>3+</sup> spins within each //ab sheet is a consequence of the fact that the Fe<sup>3+</sup> spins have a strong AFM coupling between adjacent //ab sheets through the interchain spin exchange  $J_3$  and  $J_4$ . This explains why the Curie—Weiss temperature  $\theta_{//}$  determined from the parallel susceptibility  $\chi_{//}$  of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> is very close to the Néel temperature  $T_N$  (i.e., 50 vs 40 K).

# 5. Orientation of $Tb^{3+}$ Spin and Interaction between the $Tb^{3+}$ and $Fe^{3+}$ Sublattices

The orientation of a spin at a given atomic site is determined by SOC, which is local in nature.7b Therefore, in determining the orientation of the Tb<sup>3+</sup> spin in TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> by GGA+SOC calculations, it is sufficient to consider the hypothetical crystal structure TbGa<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>, which results from TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> when the magnetic Fe<sup>3+</sup> ions are replaced with diamagnetic Ga3+ ions. Here, Ga3+ ions are chosen because the ionic radius of Ga<sup>3+</sup> at a sixcoordinate site is close to that of high-spin Fe3+ at a sixcoordinate site (0.62 vs 0.645 Å).<sup>20</sup> (A similar approach was used in determining the orientation of the Tb<sup>3+</sup> spin in TbMnO<sub>3</sub>.9b) Our GGA+SOC calculations for TbGa<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> were carried out as a function of the orientation of the Tb<sup>3+</sup> spins, which we sweep in the ac-plane from the a-axis (the sweep angle  $\phi = 0^{\circ}$ ) to the c-axis ( $\phi = 90^{\circ}$ ). The calculated energy of TbGa<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> as a function of  $\phi$  is plotted in Figure 8, which predicts that the Tb<sup>3+</sup> spin should be parallel to the c-axis in agreement with experiment. The calculated spin and orbital moments of  $\mathrm{Tb^{3+}}$  are 5.78 and 0.97  $\mu_{\mathrm{B}}$ , respectively, at  $\phi = 90^{\circ}$ , and 5.78 and 0.93  $\mu_{\rm B}$ , respectively, at  $\phi = 0^{\circ}$ .

To account for why the  $Tb^{3+}$  ( $f^8$ ) spin prefers the //c orientation, we note that each  $TbO_6$  trigonal prism of  $TbFe_3(BO_3)_4$  has a 3-fold rotational symmetry (Figure 2), so the crystal field splitting of the Tb 4f levels leads to doubly degenerate states. Indeed, the projected DOS plots calculated for the Tb 4f states (Figure 9) reveal that each of the  $m_l = \pm 1, \pm 2$ , and  $\pm 3$  substates appears as a doubly degenerate level. As expected for an  $f^8$  ion, all up-spin levels are occupied. The remaining one down-spin f-electron is found at the level given by a linear combination of the  $m_l = 0$  and



**Figure 9.** Projected DOS plots calculated for the  $m_l = 0, \pm 1, \pm 2$ , and  $\pm 3$  states of Tb<sup>3+</sup> determined from WIEN2k/GGA+SOC calculations for the magnetic ground state of TbGa<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>, in which the Tb<sup>3+</sup> spin has the //c direction.

 $m_l = \pm 3$  states (Figure 9). In other words, from the viewpoint of the crystal field, the doubly degenerate  $m_l = \pm 3$  level is occupied by more than two electrons. Consequently, this electron configuration should give rise to a uniaxial magnetism for the Tb<sup>3+</sup> ions and hence the preference for the Tb<sup>3+</sup> spin to orient along the 3-fold rotational axis (i.e., the //c direction) by analogy with the uniaxial magnetism found for high-spin Fe<sup>2+</sup> (d<sup>6</sup>) ions at linear two-coordinate and trigonal-prism six-coordinate sites.<sup>7</sup> This conclusion is consistent with the Ising-like behavior of the magnetic properties of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>.<sup>3,4</sup>

To examine the interaction between the Tb<sup>3+</sup> and Fe<sup>3+</sup> sublattices, we consider the two ordered spin arrangements of the Tb3+ ions with respect to the observed AFM arrangement of the Fe<sup>3+</sup> ions: (a) In each //ab sheet of Fe<sup>3+</sup> ions, the Tb<sup>3+</sup> spins are FM to the Fe<sup>3+</sup> spins (i.e., the Tb/ Fe FM-arrangement). (b) In each //ab sheet of Fe<sup>3+</sup> ions, the Tb<sup>3+</sup> spins are AFM to the Fe<sup>3+</sup> spins (i.e., the Tb/Fe AFM-arrangement). Our GGA calculations show that the Tb/ Fe AFM-arrangement is substantially more stable than the Tb/Fe FM-arrangement (by  $\sim$ 6 meV per Tb<sup>3+</sup>). The preference for the Tb/Fe AFM arrangement is in agreement with experiment.<sup>3b</sup> The substantial preference for the Tb/Fe AFM arrangement means that the Tb<sup>3+</sup> sublattice interacts strongly with the Fe<sup>3+</sup> sublattice. This explains why the magnetic ordering of the Tb<sup>3+</sup> sublattice and that of the Fe<sup>3+</sup> sublattice occur simultaneously at the same temperature (i.e., at 40 K).

It is of importance to consider why the spin exchange between adjacent Fe<sup>3+</sup> (d<sup>5</sup>) and Tb<sup>3+</sup> (f<sup>8</sup>) ions in the //ab sheet (see Figures 2c and 3) is AFM. Concerning the spin exchange between rare-earth and transition metal magnetic

<sup>(19) (</sup>a) Greedan, J. E. J. Mater. Chem. 2001, 11, 37. (b) Dai, D.; Whangbo, M.-H. J. Chem. Phys. 2004, 121, 672.

<sup>(20)</sup> Shannon, R. D. Acta Crystallogr., Sect. A 1976, 32, 751.

ions, several mechanisms have been considered.<sup>21</sup> Roy and Hughbanks have shown that the spin exchange between adjacent Gd<sup>3+</sup> (f<sup>7</sup>) ions in Gd-containing molecules and solids is mediated by the 5d orbitals of Gd<sup>3+</sup>. <sup>22</sup> This finding should be valid for the spin exchange of other rare-earth ions as well, because their 4f orbitals are too contracted to participate in SE and SSE interactions. Thus, the AFM spin exchange between adjacent Tb<sup>3+</sup> (f<sup>8</sup>) and Fe<sup>3+</sup> (d<sup>5</sup>) ions in TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> leads to two implications. The first is that the spin exchange of the Tb<sup>3+</sup> 5d orbitals with the Fe<sup>3+</sup> 3d orbitals should be AFM. This is most likely the case because this exchange, which occurs through the BO<sub>3</sub> bridges (Figure 2c), is of SSE type. 17a The second implication is that from the viewpoint of atomic electronic structure, the 4f8 configuration of Tb3+ should be close in energy to its excited configuration  $4f^75d^1$ . Equivalently, from the viewpoint of electronic structure calculations for TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>, the population of the Tb<sup>3+</sup> 5d orbitals should be nonzero hence leading to the "fractional d electron" on Tb<sup>3+</sup>. The latter is indeed the case, as can be seen from the projected DOS plot for the 5d orbitals of Tb<sup>3+</sup> (Figure 6). When these two conditions are met, the AFM coupling between adjacent Tb3+ (f8) and Fe3+ (d5) ions is determined by the SSE interaction of the "fractional d electron" of Tb<sup>3+</sup> with the d electrons of Fe<sup>3+</sup>, while the f electrons of a Tb3+ site become ferromagnetically coupled to the "fractional d-electron" at the same Tb<sup>3+</sup> site because of Hund's rule. As a consequence, the spin exchange between the  $Tb^{3+}$  ( $f^8$ ) and  $Fe^{3+}$  ( $d^5$ ) ions is AFM.

Finally, we comment on NdFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>, which is isostructural with TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> and undergoes a 3D magnetic ordering below 30.5 K in which the Fe<sup>3+</sup> spins are ferromagnetically coupled within each //ab sheet but those of adjacent //ab-plane sheets are antiferromagnetically coupled.<sup>23</sup> These aspects are similar to those found for TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>. However, the spin orientation of NdFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> differs from that of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>; in each //ab sheet the Fe<sup>3+</sup> and Nd<sup>3+</sup> (f<sup>3</sup>) spins are parallel to the ab-plane, but the Fe<sup>3+</sup> spins are

(21) Benelli, C.; Gatteschi, D. Chem. Rev. 2002, 102, 2369.

orthogonal to the  $Nd^{3+}$  spins.<sup>23</sup> Results of our study on  $NdFe_3(BO_3)_4$  will be reported elsewhere.<sup>24</sup>

#### 6. Ferroelectric Polarization

We calculated the FE polarization of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> for the observed AFM state of the Fe<sup>3+</sup> ions to find that the polarization is zero along the *c*-direction ( $P_{//c}=0$ ), and 3.72  $\mu$ C/m<sup>2</sup> perpendicular to the *c*-direction ( $P_{\perp}=3.72~\mu$ C/m<sup>2</sup>). For the hypothetical FM state of the Fe<sup>3+</sup> ions, in which the Fe<sup>3+</sup> spins have an FM coupling not only within each //ab sheet but also between adjacent //ab sheets, our calculation gives  $P_{//c}=0$  and  $P_{\perp}=3.12~\mu$ C/m<sup>2</sup>. Thus, the effect of the magnetic structure on the FE polarization of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> is very weak, and the small FE polarization calculated for TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> arises primarily from the fact that its crystal structure has no inversion symmetry regardless of the magnetic structure.

### 7. Concluding Remarks

The magnetic structure predicted for TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> from our DFT calculations are in good agreement with experiment. The Fe<sup>3+</sup> spins have a FM coupling within each //ab sheet but AFM coupling between adjacent //ab sheets, largely due to the strong interchain AFM spin exchange  $J_4$ . The Tb<sup>3+</sup> (f<sup>8</sup>) ions at the trigonal prism sites have an electron configuration leading to uniaxial magnetism, so that the Tb<sup>3+</sup> spins orient along the c-direction and the magnetic susceptibility of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub> is highly anisotropic. The Tb<sup>3+</sup> spins have a substantial AFM coupling to the Fe<sup>3+</sup> ions within each //ab sheet, which explains why the Tb<sup>3+</sup> and Fe<sup>3+</sup> spins order at the same temperature. The FE polarization of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>, arising from the absence of inversion symmetry in the crystal structure, is small and only weakly affected by the magnetic structure of TbFe<sub>3</sub>(BO<sub>3</sub>)<sub>4</sub>.

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